



Software Developers Session

National Marine Fisheries Service (NMFS)

Partner Government Agency (PGA)

Message Set

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The NMFS ITDS Objectives

- 1. Transition from paper to electronic import and export filing via a "single window"
- 2. Utilize Electronic Data Interchange (EDI) via the CBP Automated Broker Interface (ABI) and the PGA Message Set to collect data necessary to facilitate an electronic (automated) authorization decision for product/shipment release
- 3. Utilize the CBP Document Imaging System (DIS) CBP to collect the NMFS required international forms and eliminate the collection of all paper forms (NMFS lists approximately 20 forms that may be collected through DIS. Some are used frequently and some only occasionally.)
- 4. Utilize Interoperability Web Services (IWS) to conduct system to system automated communications necessary to facilitate the automation of import and export authorizations (NMFS does not have direct "hold authority")
- Utilize Secure File Transfer Protocol (SFTP) for NMFS to receive necessary Entry, Message Set and DIS data from CBP on NMFS related filings from CBP.
- 6. Utilize an electronic NMFS trade permitting system to facilitate timely permit acquisitions and an electronically updated data base for automated permit validation (All NMFS regulated international trade will be covered by a single international trade permit.)

There are three NMFS Message Set Programs

- Highly Migratory Species
- Antarctic Marine Living Resources (AMLR)
- NOAA 370 Tuna Tracking and Verification Program
 (TTVP) Dolphin Protection (This is an import only program)

These three programs "cover" approximately 80 HTS products. All other marine products imported and exported (over 700 HTS codes) do not require an NMFS international trade permit nor the submission of additional filing information (message set or digital imaged documents) beyond that which Customs or Census may otherwise already require.

The Presidential Task Force on Combating Illegal Fishing and Seafood may recommend additional commodities for monitoring, for which the NMFS PGA message set would apply. (Presidential Memorandum --

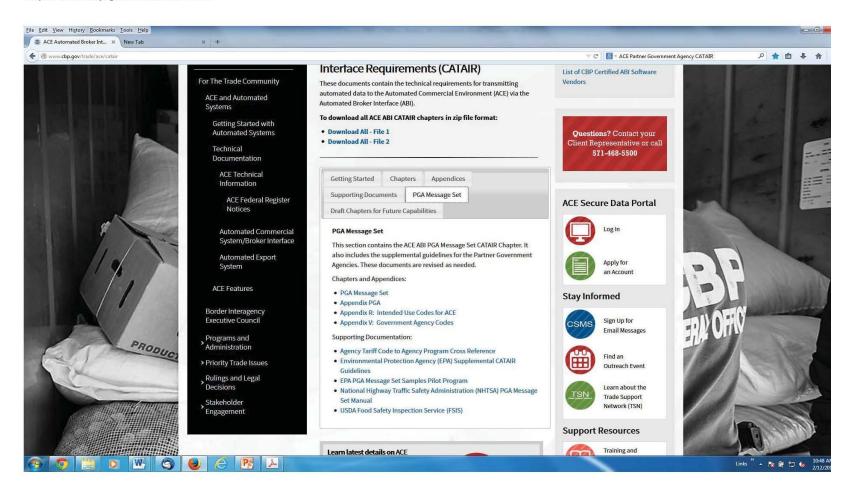
Comprehensive Framework to Combat Illegal, Unreported, and Unregulated Fishing and Seafood Fraud – July 17,2014)



Primary Products Covered by the three Programs

- Tuna Both the HMS and the NOAA 370 Programs cover tuna
- Swordfish HMS Program
- Toothfish Antarctic Marine Living Resources Program

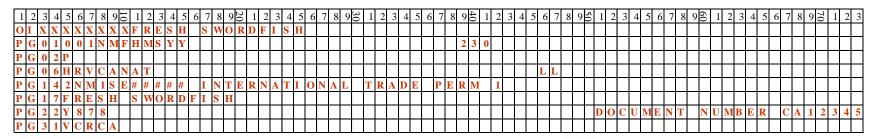
Most of the shipments that require the filing of the NMFS "Message Set" and supplemental documents through "DIS" include the three products above. The 370 program is also used to screen for yellow fin tuna imports embargoed if originating from or harvested by 9 specific countries and a 370 submission is also required for imports on approximately 45 products that, if from a high seas driftnet listed country (currently only 1 country, Italy),to certify that such product was not harvested by use of high seas driftnets.



CBP.gov Web Site for PGA Message Set CATAIR and Appendices

NOAA HMS Program; Requirements Continued

PG-OI	Commercial Description of Shipment (product)	Such as "Fresh Swordfish"
PG-01	Partner Government Agency Code (PGA)	NM (code used for National Marine Fisheries)
PG-01	Government Agency Program Code	HMS (Highly Migratory Species Program code)
PG-01	Electronic Image Submitted	"Y" indicates an image will be included
		(blank for shark fins only – no image required)
PG-01	Confidential Information Indicator	"Y" indicates the info is confidential
PG-06	Source Type Code	Country role; e.g. "Harvesting Country"
PG-06	Country Code	A two letter code from Appendix B that shows from what Country's territorial waters the harvest took place or if it was on the high seas in international waters, the code "ZZ" is used.
PG-06	Geographic location (filer must provide the ocean area or areas where the product was harvested)	Ocean Geographic Area Codes Use valid codes for the ocean area of catch example; North Atlantic from appendix PGA.
PG-06	Processing Type Code	The fishing vessel's harvesting gear type (see code list)
PG-14	Licenses, Certificates, Permits or Other (LCPO)	The license type per Appendix PGA is "NM3" provide the NMFS HMS permit number for the importer of record— (NMFS HMS International Trade Permit will change with new rule 2015)
PG-22	Importers Signed Document	"Y" indicates that the importer has a copy of the required document (none required for shark fins)
PG-22	Document Identifier (will be repeated multiple time for multiple documents)	Include the code from the Appendix PGA for the specific form- document images submitted via the Document Imaging System. At least one of the Catch, Statistical or Re-export documents is required for all imports, exports or re-exports of HMS products except for shark fins. These documents must be submitted at the time of the filing to CBP via the Document Imaging System/DIS.
PG-22	Compliance Description (will be repeated multiple time for multiple documents)	Enter the unique/specific number that appears on every document submitted via the DIS. This is the specific "serialized" number assigned to the individual reporting form for that specific harvest. (The unique number is entered in positions 51 through 80 and must correspond to the document identifier for each PG Record 22 in positions 6-12) (There are 30 characters to utilize in space 51 through 80.)
PG-31	Commodity Harvesting Vessel Character Type Code	Flag(Country) of the harvest vessel (two digit ISO code from appendix B)



PG Record elements:

PG 01 – This is Fresh Swordfish, subject to NMFS regulation under the HMS program. An electronic image is required, information is confidential and the use is for human consumption.

PG 06- This fish was harvested in Canadian waters in the North Atlantic Ocean using longline fishing gear.

PG 14- There is a requirement for a dealer permit issued by NMFS. The permit number must be provided.

PG 17- common name is Fresh Swordfish.

PG 22- The image referenced in PG-01 is an ICCAT Swordfish Document (code 878) and the actual number of that document is required.

PG 31- Vessel Country (VCR) (flag) is Canadian (CA).

17-22				
DOCUMENT CA1:	2345	ICCAT SWORDFISHS	TATISTICAL DO	CUMENT
EXPORT SECTION:	PG-31	TO SECURITION AND SECURITION OF THE PERSON O		
1. FLAG COUNTRY/EN	TITY/FISHING ENTIT	CANADA		
2. DESCRIPTION OF VI	ESSEL (if applicable)			
Vessel Name		ration Number LOA (m) ICCAT Re	ecord No. (if applicable)
3. POINT OF EXPORT: CITY, STATE OR PRO		COUNTRY/ENTITY/FI	SHING ENTITY	
4. AREA OF CATCH (CI	eck one of the following)	PG-06		
X (a) North Atlantic				(e) Indian
		elow do not need to be filled ou	t	
5. DESCRIPTION OF FI Product Type *	SH Time of Harve	est Gear Code	PG-06	Net Weight
F/FR RD/GG/DR/FL/C	T (mm/yy)	Gear Code		(kg)
FR DR	07/	14 LL		9,000
OT=Others (Describe the t a When the Gear Code is O'	ype of product: Γ, describe the type of gear	tted, DR=Dressed, FL=Fillet, S		mative minimum size for
derived from a swordfish w	eighing >15kg.	lantic swordfish are greater that ad correct to the best of my know	-2 - 52	if pieces, the pieces were
Name	Address	Signature	Date	License # (if applicable)
7. GOVERNMENT VAL. I validate that the above inf		and correct to the best of my kn	owledge and belie	
Name &	Title	Signature	Date	Government Seal
IMPORT SECTION				
8. IMPORTER CERTIFI				
I certify that the above infor	mation is complete, true ar	d correct to the best of my know	ledge and belief.	
Importer Certification (Inter	mediate Country/Entity/Fi	shing Entity)		
Name	Address	Signature	Date	License # (if applicable)
Importer Certification (Inter	madiata Country/Perit /Pi	china Entite)		
Name	Address	Signature	Date	Li cense # (if applicable)
Importer Certification (Fina	1 Destination of Shipment			
Name	Address	Signature	Date	License # (if applicable)
Final Point of Import: Cit	y State/Prov	rince Country/l	Entity/Fishing En	

NOTE: IF ALANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION O THIS DOCUMENT.



Toothfish Program; Requirements Overview

NMF	S Antarc	tic Marine Living Resources (AM (Primarily Fresh or Frozen Tooth	•
General NMFS	(HTS) co Federal	odes are regulated by the Nation	ch products/Harmonized Tariff Schedule al Marine Fisheries Service. See also the SC), and Code of Federal Regulations (CFR.) Permits can be obtained at www.xxxx.gov
Requirements and Restrictions	All Fresl	nfish imports h toothfish must be anied by Dissostichus Catch ents (DCD)	(almost all fresh product is air shipped)
	All Froz	en toothfish requires NMFS Pre-	Approval
Document Images Required	DCDs fo	r all fresh toothfish	
	PG-OI	Commercial Description of Ship	ment (product)
Mandatory PG-	PG-01	Partner Government Agency (P	GA)
Records	PG-02	Designation of items as "produ	ct" or "component"
Conditional PG-	PG-06 PG-14	Ocean Area of Catch Permit & Authorizing Documen	t Data (toothfish pre-approval number)
Records required	PG-17	Species Description	
by NMFS	PG-31	Harvest Vessel Flag Country (V	/essel Name & Length Overall if required)





PG Record elements:

PG 01- This product/shipment is frozen toothfish. Information is confidential. Intended use is for human consumption.

PG 02- The toothfish is the product and not a component thereof.

PG 14- There is a requirement for an AMLR (international trade) dealer permit issued by NMFS. The permit number must be provided with the expiration date.

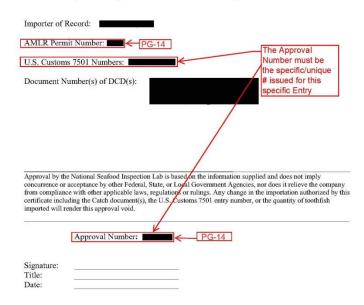
PG 14- A Pre-Approval must be issued for this product. Enter the specific/unique pre-approval number issued by NMFS for this specific entry/shipment.

PG 17- the common name is frozen toothfish.

United States of America Department of Commerce National Oceanic and Atmospheric Administration

Approval Action of Catch Documents for Toothfish Imports

This is to certify that the shipment described below has been approved



NOAA 370 Program; Requirements Overview

(Pr	ocessed or Frozen Tuna Import	ts; includes all tuna except fresh tuna)
General NMFS Requirements and Restrictions	(HTS) codes are regulated by Federal Register, United State (370 program is not required, NMFS International Trade Per	ermine which products/Harmonized Tariff Schedule the National Marine Fisheries Service. See also the es Code (USC), and Code of Federal Regulations (CFR.) (applicable to fresh tuna) rmit (ITP) Required (future – pending rule making) all frozen Big Eye tuna, see also NMFS HMS program
Document Images Required	copies of the 370 as n	Fisheries Certificate(s) of Origin, including all/multiple necessary to document the harvest of all products in tion 4 of the Certificate. Potential supplemental/conditional certifications include; • High Seas Driftnet • Captain's • Observer's • International Dolphin Conservation Program(IDCP) Member Nation Statement
	PG-OI	Commercial Description of Shipment (product)
Mandatory PG	PG-01	Partner Government Agency (PGA)
Records	PG-02	Designation of items as "product" or "component"
	PG-06	Ocean Area of Catch and Gear Type
	PG-14	Permit Information (future requirement)
	PG-17	Species Description
Conditional PG- Records required	PG-22	Document Identifier (NMFS form names and unique document numbers) for all imaged documents
by NMFS	PG-31	Harvest Vessel Flag Country (Vessel Name & Length Overall if required)

NMFS Tuna Tracking and Verification Program (TTVP / NOAA 370)Import Requirements



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PG Record elements:

PG 01- This product/shipment is canned tuna, the form is a 370, an image is attached and information is confidential. The product is intended for human consumption.

PG 06 – The product was harvested on the high seas in the Western Pacific ocean. Purse Seine gear was used to harvest the tuna.

PG 14- There will be a requirement for a Fisheries International Trade Permit issued by NMFS. The permit number must be provided with the expiration date.

PG 17- the specific tuna is skip jack and other species and the common name is canned light meat tuna.

PG-22- A NOAA, NMFS Form 370 (code 877)must accompany this shipment and box 5B2 is checked on the form therefore a Captains Statement must also be attached via DIS.

PG-22- A Captains Statement has also been included via DIS.

PG-31-Vessel Country (VCR) (flag) is Japan (JP).

